



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Serial No.:** 10/810,761  
**Application of:** Honeyman, et al.  
**Confirmation No. :**  
**Filed:** March 26, 2004  
**Group Art Unit:** 2873  
**Examiner:** Thompson, Timothy J.

**Attorney Docket No.:** H-368  
**Customer No.:** 26245

Cambridge, Massachusetts  
April 28, 2005

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Sir:

The applicant is filing this Information Disclosure Statement to make of record US and foreign patents and applications, and non-patent references. The references it is desired to make of record are listed on the accompanying Forms.

All of the references filed herewith are in the English language so that, pursuant to 37 CFR 1.98(a)(3), no statement of the relevance of each reference is required.

The Examiner is respectfully asked to note that, for the convenience of both the Examiner and the undersigned attorney, certain prior art documents mentioned in the application have been replaced by their US equivalents or other convenient equivalents, as follows:

05/03/2005 HTECKLU1 00000014 501162 10810761

03 FC:1806 180.00 DA

<u>Document not supplied</u>	<u>Alternative supplied</u>
ASN10/064,389	US6831769
ASN10/249,957	US2004/0027327
ASN10/605,024	US2004/0155857
US20020019081	US6825068
US20020021270	US6816147
US20020053900	US6512354
US20020063677	US6518949
US20020075556	US6788449
US20020106847	US6683333
US20020131147	US6866760
US20020171910	US6870661
US20020180688	US6864875
US20020185378	US6822782
US20030011868	US6753999
US20030025855	US6831769
US20030034949	US6842167
US20030038755	US6819471
US20030053189	US6825970
US20030096113	US6721083
US20030137717	US6839158
US20030189749	US6580545
US20030214697	US6865010
US20040008398	US6842279
WO 00/38001	US6724519
WO 01/08241	US6842657
WO 02/01281	US6672921
WO 03/092077	US2003-222315
WO 99/67678	EP1145072B1

*Honeyman, et al.*  
*Serial No. 10/810,761*  
*Information Disclosure Statement of April 28, 2005*  
*Page 3*

In accordance with 37 CFR 1.98(a)(2) no copies of the listed U.S. patents and applications are being filed. Copies of foreign and non-patent References B1-B9 and C1-C16 are supplied herewith.

Since this Information Disclosure Statement is being filed after the issue of an Office Action in this case, but before issue of a Notice of Allowance, the fee prescribed by 37 CFR 1.17(p) is payable and is included on the enclosed Fee Transmittal.

Respectfully submitted,



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<b>Substitute Form 1449/PTA</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Using as many sheets as necessary)	<b>Complete if Known</b>	
	Application Number	10/810,761
	Filing Date	March 26, 2004
	First Named Inventor	Honeyman
	Art Unit	2873
	Examiner Name	Thompson, Timothy J.
Sheet: 1 of 8	Attorney Docket No.	H-368

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A1	D485294	01/13/2004	Albert	
	A2	20050035941	02/17/2005	Albert et al.	
	A3	20050000813	01/06/2005	Pullen et al.	
	A4	20040257635	12/23/2004	Paolini, Jr. et al.	
	A5	20040252360	12/16/2004	Webber et al.	
	A6	20040233509	11/25/2004	Zhang et al.	
	A7	20040226820	11/18/2004	Webber et al.	
	A8	20040216837	11/04/2004	Pereira et al.	
	A9	20040196215	10/07/2004	Duthaler et al.	
	A10	20040180476	09/16/2004	Kazlas et al.	
	A11	20040155857	08/12/2004	Duthaler et al.	
	A12	20040136048	07/15/2004	Arango et al.	
	A13	20040119681	06/24/2004	Albert et al.	
	A14	20040112750	06/17/2004	Jacobson et al.	
	A15	20040112525	06/17/2004	Pereira et al.	
	A16	20040105036	06/03/2004	Danner et al.	
	A17	20040094422	05/20/2004	Pullen et al.	
	A18	20040075634	04/22/2004	Gates	
	A19	20040027327	02/12/2004	LeCain et al.	
	A20	20040014265	01/22/2004	Kazlas et al.	
	A21	20040012839	01/22/2004	Cao et al.	
	A22	20030222315	12/04/2003	Amundson et al.	
	A23	20030214695	11/20/2003	Abramson et al.	
	A24	20030151702	08/14/2003	Morrison et al.	
	A25	20030137521	07/24/2003	Zehner et al.	
	A26	20030132908	07/17/2003	Herb et al.	
	A27	20030102858	06/05/2003	Jacobson et al.	
	A28	20030020844	01/30/2003	Albert et al.	
	A29	20030011560	01/16/2003	Albert et al.	
	A30	20020180687	12/05/2002	Webber	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Using as many sheets as necessary)		Application Number	10/810,761
		Filing Date	March 26, 2004
		First Named Inventor	Honeyman
		Art Unit	2873
		Examiner Name	Thompson, Timothy J.
		Attorney Docket No.	H-368
Sheet: 2 of 8			

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A31	20020130832	09/19/2002	Baucom et al.	
	A32	20020113770	08/22/2002	Jacobson et al.	
	A33	20020090980	07/11/2002	Wilcox et al.	
	A34	20020063661	05/30/2002	Comiskey et al.	
	A35	20020060321	05/23/2002	Kazlas et al.	
	A36	20020027635	03/07/2002	Sakamaki et al.	
	A37	6870661	03/22/2005	Pullen et al.	
	A38	6866760	03/15/2005	Paolini, Jr. et al.	
	A39	6865010	03/08/2005	Duthaler et al.	
	A40	6864875	03/08/2005	Drzaic et al.	
	A41	6842657	01/11/2005	Drzaic et al.	
	A42	6842279	01/11/2005	Amundson	
	A43	6842167	01/11/2005	Albert et al.	
	A44	6839158	01/04/2005	Albert et al.	
	A45	6831769	12/14/2004	Holman et al.	
	A46	6825970	11/30/2004	Goenaga et al.	
	A47	6825829	11/30/2004	Albert et al.	
	A48	6825068	11/30/2004	Denis et al.	
	A49	6822782	11/23/2004	Honeyman et al.	
	A50	6819471	11/16/2004	Amundson et al.	
	A51	6816147	11/09/2004	Albert	
	A52	6788449	09/07/2004	Liang et al.	
	A53	6753999	06/22/2004	Zehner et al.	
	A54	6750473	06/15/2004	Amundson et al.	
	A55	6738050	05/18/2004	Comiskey et al.	
	A56	6727881	04/27/2004	Albert et al.	
	A57	6724519	04/20/2004	Comiskey et al.	
	A58	6721083	04/13/2004	Jacobson et al.	
	A59	6710540	03/23/2004	Albert et al.	
	A60	6704133	03/09/2004	Gates et al.	

Examiner Signature		Date Considered	
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		Application Number	10/810,761
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		First Named Inventor	Honeyman
		Art Unit	2873
		Examiner Name	Thompson, Timothy J.
Sheet: 3 of 8	Attorney Docket No.	H-368	

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A61	6693620	02/17/2004	Herb et al.	
	A62	6683333	01/27/2004	Kazlas et al.	
	A63	6680725	01/20/2004	Jacobson	
	A64	6672921	01/06/2004	Liang et al.	
	A65	6664944	12/16/2003	Albert et al.	
	A66	6657772	12/02/2003	Loxley	
	A67	6652075	11/25/2003	Jacobson	
	A68	6639578	10/28/2003	Comiskey et al.	
	A69	6580545	06/17/2003	Morrison et al.	
	A70	6545291	04/08/2003	Amundson et al.	
	A71	6538801	03/25/2003	Jacobson et al.	
	A72	6535197	03/18/2003	Comiskey et al.	
	A73	6531997	03/11/2003	Gates et al.	
	A74	6521489	02/18/2003	Duthaler et al.	
	A75	6518949	02/11/2003	Drzaic	
	A76	6515649	02/04/2003	Albert et al.	
	A77	6512354	01/28/2003	Jacobson et al.	
	A78	6506438	01/14/2003	Duthaler et al.	
	A79	6504524	01/07/2003	Gates et al.	
	A80	6498114	12/24/2002	Amundson et al.	
	A81	6480182	11/12/2002	Turner et al.	
	A82	6473072	10/29/2002	Comiskey et al.	
	A83	6459418	10/01/2002	Comiskey et al.	
	A84	6445489	09/03/2002	Jacobson et al.	
	A85	6445374	09/03/2002	Albert et al.	
	A86	6422687	07/23/2002	Jacobson	
	A87	6413790	07/02/2002	Duthaler et al.	
	A88	6392786	05/21/2002	Albert	
	A89	6392785	05/21/2002	Albert et al.	
	A90	6377387	04/23/2002	Duthaler et al.	

Examiner Signature		Date Considered	
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		Filing Date	March 26, 2004
		First Named Inventor	Honeyman
		Art Unit	2873
		Examiner Name	Thompson, Timothy J.
Sheet: 4 of 8	Attorney Docket No.	H-368	

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A91	6376828	04/23/2002	Comiskey	
	A92	6327072	12/04/2001	Comiskey et al.	
	A93	6323989	11/27/2001	Jacobson et al.	
	A94	6312971	11/06/2001	Amundson et al.	
	A95	6312304	11/06/2001	Duthaler et al.	
	A96	6301038	10/09/2001	Fitzmaurice et al.	
	A97	6300932	10/09/2001	Albert	
	A98	6271823	08/07/2001	Gordon , II et al.	
	A99	6262833	07/17/2001	Loxley et al.	
	A100	6262706	07/17/2001	Albert et al.	
	A101	6252564	06/26/2001	Albert et al.	
	A102	6249271	06/19/2001	Albert et al.	
	A103	6241921	06/05/2001	Jacobson et al.	
	A104	6232950	05/15/2001	Albert et al.	
	A105	6225971	05/01/2001	Gordon , II et al.	
	A106	6184856	02/06/2001	Gordon , II et al.	
	A107	6184331	02/06/2001	Chiang et al.	
	A108	6177921	01/23/2001	Comiskey et al.	
	A109	6172798	01/09/2001	Albert et al.	
	A110	6147791	11/14/2000	Sheridon	
	A111	6144361	11/07/2000	Gordon , II et al.	
	A112	6140405	10/31/2000	Eckstein et al.	
	A113	6137467	10/24/2000	Sheridon et al.	
	A114	6130774	10/10/2000	Albert et al.	
	A115	6130773	10/10/2000	Jacobson et al.	
	A116	6128124	10/03/2000	Silverman	
	A117	6124851	09/26/2000	Jacobson	
	A118	6120839	09/19/2000	Comiskey et al.	
	A119	6120588	09/19/2000	Jacobson	
	A120	6118426	09/12/2000	Albert et al.	

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO			<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Using as many sheets as necessary)			Application Number	10/810,761
			Filing Date	March 26, 2004
			First Named Inventor	Honeyman
			Art Unit	2873
			Examiner Name	Thompson, Timothy J.
			Attorney Docket No.	H-368
			Sheet: 5 of 8	

[illegible]

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Using as many sheets as necessary)		Application Number	10/810,761
		Filing Date	March 26, 2004
		First Named Inventor	Honeyman
		Art Unit	2873
		Examiner Name	Thompson, Timothy J.
Sheet: 7 of: 8	Attorney Docket No.	H-368	

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. *1	Document Citation	T <sup>2</sup>
	C1	Amundson, K., et al., "Flexible, Active-Matrix Display Constructed Using a Microencapsulated Electrophoretic Material and an Organic-Semiconductor-Based Backplane", SID 01 Digest, 160 (June 2001)	
	C2	Bach, U., et al., "Nanomaterials-Based Electrochromics for Paper-Quality Displays", Adv. Mater, 14(11), 845 (2002)	
	C3	Chen, Y., et al., "A Conformable Electronic Ink Display using a Foil-Based a-Si TFT Array", SID 01 Digest, 157 (June 2001)	
	C4	Comiskey, B., et al., "An electrophoretic ink for all-printed reflective electronic displays", Nature, 394, 253 (1998)	
	C5	Comiskey, B., et al., "Electrophoretic Ink: A Printable Display Material", SID 97 Digest (1997), page 75	
	C6	Drzaic, P., et al., "A Printed and Rollable Bistable Electronic Display", SID 98 Digest (1998), page 1131	
	C7	Duthaler, G., et al., "Active-Matrix Color Displays Using Electrophoretic Ink and Color Filters", SID 02 Digest, 1374 (2002)	
	C8	Jacobson, J., et al., "The last book", IBM Systems J., 36, 457 (1997)	
	C9	Jo, G-R, et al., "Toner Display Based on Particle Movements", Chem. Mater, 14, 664 (2002)	
	C10	Kazlas, P., et al., "12.1" SVGA Microencapsulated Electrophoretic Active Matrix Display for Information Appliances", SID 01 Digest, 152 (June 2001)	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

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		First Named Inventor	Honeyman
		Art Unit	2873
		Examiner Name	Thompson, Timothy J.
Sheet: 8 of: 8	Attorney Docket No.	H-368	

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. *1	Document Citation	T <sup>2</sup>
	C11	Kitamura, T., et al., "Electrical toner movement for electronic paper-like display", Asia Display/IDW '01, page 1517, Paper HCS1-1 (2001)	
	C12	O'Regan, B. et al., "A Low Cost, High-efficiency Solar Cell Based on Dye-sensitized colloidal TiO2 Films", Nature, Volume 353, October 24, 1991, 773-740	
	C13	Pitt, M.G., et al., "Power Consumption of Microencapsulated Electrophoretic Displays for Smart Handheld Applications", SID 02 Digest, 1378 (2002)	
	C14	Webber, R., "Image Stability in Active-Matrix Microencapsulated Electrophoretic Displays", SID 02 Digest, 126 (2002)	
	C15	Wood, D., "An Electrochromic Renaissance?" Information Display, 18(3), 24 (March 2002)	
	C16	Yamaguchi, Y., et al., "Toner display using insulative particles charged triboelectrically", Asia Display/IDW '01, page 1729, Paper AMD4-4 (2001)	

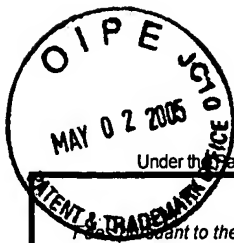
Examiner Signature		Date Considered	
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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/8/2004.

Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

**Complete if Known**

Application Number	10/810,761
Filing Date	3/26/2004
First Named Inventor	Honeyman, et al.
Examiner Name	Thompson, Timothy J.

☒ Applicant Claims small entity status. See 37 CFR 1.27

Art Unit	2873
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TOTAL AMOUNT OF PAYMENT (\$)**905.00**

Attorney Docket No.	H-368
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**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: **501162** Deposit Account Name: **E Ink Corporation**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charges fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Small Entity	Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	<b>Multiple Dependent Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
- 20 or HP =	x	\$25.00	=	\$180.00		

HP = highest number of total claims paid for, if greater than 20

<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	
- 3 or HP =	x	\$100.00	=	\$400.00

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41 (a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
- 100 =	0	/ 50 =	0	(round up to a whole number) x \$125.00 =

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: Late filing of Information Disclosure Statement (37 CFR 1.17(p))

**SUBMITTED BY**

Signature	<i>David J. Cole</i>	Registration No. 29629 (Attorney/Agent)	Telephone (617) 499 6069
Name (Print/Type)	David J. Cole		Date April 28, 2005

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